

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Examiner: Unassigned

Syed F. A. Hossainy et al.

Serial No. 10/820,316

Art Unit: 1762

Filed: April 7, 2004

Title: Methods for Modifying Balloon of a Catheter Assembly

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. §§1.97-1.98**

Dear Examiner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the references listed on the attached Form PTO-1449. According to a Notice signed July 11, 2003, the U.S. Patent and Trademark Office has waived the requirement under 37 C.F.R. § 1.98(a)(2)(i) for all patent applications filed after June 30, 2003. *See*, 1276 Off. Gaz. Pat. Office 55. Since this patent application was filed after June 30, 2003, Applicants have not provided copies of the cited U.S. patents. Copy of the cited foreign patent document has been submitted herewith.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute the listed documents as prior art during examination. Furthermore, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

San Francisco/131839.1

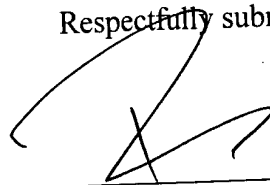
09/07/2004 ERREGAY1 00000055 071850 10820316
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The present Information Disclosure Statement is being filed before receiving the first Office Action. Therefore, no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required. However, the Commissioner is authorized to charge any deficiencies or other amounts due to Deposit Account No. 07-1850.

Date: August 31, 2004

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Respectfully submitted,

A handwritten signature in black ink, appearing to be "Paul J. Meyer, Jr.", written over a horizontal line.

Paul J. Meyer, Jr.
Attorney for Applicants
Reg. No. 47,791

Please type a plus sign (+) inside this box → ☐

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**TRANSMITTAL
FORM**

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7

Application Number

10/820,316

Filing Date

April 7, 2004

First Named Inventor

Syed F. A. Hossainy et al.

Group Art Unit

1762

Examiner Name

Unknown

Attorney Docket Number

50623.285

ENCLOSURES (check all that apply)☒ Deposit Account 07-1850
Authorization☒ Postage Paid Return Postcard☐ Amendment / Response☐ Amendment Transmittal Letter (in
duplicate)☐ Affidavits/declaration(s)☐ Petition for Extension of Time (____
months) (in duplicate)☒ Information Disclosure Statement
(in duplicate) with Form PTO-1449
citing 45 References☐ Express Mail Label No.☐ Certified Copy of Priority
Document(s)☐ Response to Missing Parts/
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Parts under 37 CFR
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1 Foreign Patent Document

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENTFirm
or
Individual nameSquire, Sanders & Dempsey L.L.P.
Paul J. Meyer, Reg. No. 47,791

Signature

Date

August 31, 2004

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FORM PTO-1449 (Modified) Approved for use through 10/31/2002		US DEPARTMENT OF COMMERCE US Patent and Trademark Office		Docket No. 50623.285	Application No. 10/820,316		
INFORMATION DISCLOSURE CITATION in an Application (Use several sheets if necessary)				Applicant Syed F.A. Hossainy et al.			
				Filing Date April 7, 2004	Group Art Unit 1762		
U.S. PATENT DOCUMENTS							
Examiner's Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate
A1		4,411,648	10/25/83	Davis et al.	604	21	
A2		4,636,195	1/13/87	Wolinsky	604	53	
A3		4,824,436	4/25/89	Wolinsky	604	53	
A4		5,041,107	8/20/91	Heil, Jr.	604	891.1	
A5		5,049,132	9/17/91	Shaffer et al.	604	101	
A6		5,087,244	2/11/92	Wolinsky, et al.	604	53	
A7		5,087,394	2/11/92	Keith	204	22	
A8		5,213,576	5/25/93	Abiuso et al.	604	96	
A9		5,254,089	10/19/93	Wang	604	96	
A10		5,306,250	4/26/94	March et al.	604	104	
A11		5,318,531	6/7/94	Leone	604	96	
A12		5,336,205	8/9/94	Zenzen et al.	604	280	
A13		5,405,472	4/11/95	Leone	156	218	
A14		5,411,477	5/2/95	Saab	604	96	
A15		5,413,581	5/9/95	Goy	606	194	
A16		5,415,636	5/16/95	Forman	604	101	
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A18		5,456,661	10/10/95	Narciso, Jr.	604	20	
A19		5,620,420	4/15/97	Kriesel	604	133	
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A23		5,707,385	1/13/98	Williams	606	192	
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A32	5,921,416	7/13/99	Uchara	215	12.1	
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A34	5,944,710	8/31/99	Dev et al.	604	21	
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A36	6,068,650	5/30/00	Hofmann et al.	607	2	
A37	6,090,330	7/18/00	Gawa et al.	264	400	
A38	6,120,520	9/19/00	Saadat et al.	606	170	
A39	6,156,053	12/5/00	Gandhi, et al.	606	194	
A40	6,165,164	12/26/00	Hill et al.	604	523	
A41	6,216,033	4/10/01	Southam et al.	604	20	
A42	6,585,926	7/1/03	Mirzaee	264	400	
A43	6,625,486	9/23/03	Lundkvist et al.	604	21	
A44	6,706,013	3/16/04	Bhat et al.	604	96.01	

FOREIGN PATENT DOCUMENTS

Examiner Initial	Ref. No.	Document Number	Date of Publication	Country	Class	Subclass	Translation	
							Yes	No
	B1	WO 00/10552	3/2/00	PCT				
	B2							
	B3							
	B4							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

C1	
C2	
C3	
EXAMINER	DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.
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